INTERNATIONALLY RECOMMENDED DEFINITIONS, CONCEPTS, AND METHODOLOGIES: Core Health Indicators

Margaret Hazlewood, Ph.D.
Health Analysis and Information Systems
August 2003





WHY HEALTH INDICATORS

- Social wellbeing is not a single quantifiable entity
- Benchmarks are needed to illustrate to stakeholders that progress is being made, (or not being made) towards the highest possible level of social wellbeing
- Indicators facilitate the monitoring of progress, stimulate action, and assist in the decision-making progress.



TYPE OF INDICATORS

- Count (number of)
- Proportion (percentage, number/per x)
- Rate (frequency of an event during a specified period expressed as per 1,000 or 100,000) in a defined population
- Ratio (numerator not included in the denominator)
- Average
- Index



METADATA: information about data

- Methods used (concepts, definitions) to identify differences between national methods and international recommendations
- Sources of base data
- Methods used for estimates and adjustments
- Technical notes



DATA QUALITY FRAMEWORK

- Assessment of product quality/integrity (based on feedback from users)
- Statistical methods used and the assumptions made
- Key production dates
- Forms of dissemination
- Availability of supporting documentation
- Changes in methodology or other circumstances



CORE HEALTH INDICATORS: PAHO/WHO CORE INDICATOR INITIATIVE

- 1. Life Expectancy at Birth
- 2. Infant Mortality/Infant Mortality Rate
- 3. Maternal Mortality/Maternal Mortality Rate
- 4. Crude Birth Rate
- 5. Mortality Rate by Cause of death and Age



Concept and definition

- Average number of years a newborn baby can expect to live if current mortality trends continue.
- Highly influenced by the infant mortality rate
- Differences between both sexes may be significant
- Good indicator of health and socio-economic development



Methodology

- Calculation derived form Life Tables
- PAHO uses the Life Tables at birth values that are provided by the United Nations Statistical Division (UNSD).



Methodology

Type of indicator: Index

Measure Units: Years

Categories: Total, Male and Female



Related issues

- Healthy Life Expectancy (HALE) is based on life expectancy, but includes an adjustment for time spent in poor health.
- Procedures used to estimate the 2002 Life Tables differ for Member States depending on the data availability to assess child and adult mortality.



INFANT MORTALITY and INFANT MORTALITY RATES

Concepts and definition (reported vs estimated)

- A measure of the yearly rate of deaths in children less than or at exact age one year.
- The sum of the neonatal mortality rate + the post-neonatal mortality rate
- Neonatal mortality: children born alive but died before the age of 28 days.
- Post neonatal mortality: children that were alive after 27 days but died before the age of one year



INFANT MORTALITY and INFANT MORTALITY RATES

METHODOLOGY

Death under the age of 1 year after live birth in a given year

No of Live births in same year

Measure Units = per I,000 Type of Indicator = Rate



INFANT MORTALITY and INFANT MORTALITY RATES

RELATED ISSUES

- Definitions (live birth, stillbirth, abortion, low-birth weight)
- Completeness of registration
- Date of registration of death
- Adjustment for unregistered deaths



Concept and definition

Death of a women, while pregnant or within 42 days of the termination of pregnancy, irrespective of the duration of the pregnancy, from <u>any</u> cause aggravated by the pregnancy and its management but not from accidental or incidental causes.



Concept and definition

- Two categories: Direct obstetric death and indirect obstetric death
- Maternal mortality is a particular sensitive indicator of inequity



Methodology

Maternal-related deaths in one year

Total births in same year

Type of indicator: Ratio

Measure units = per 100,000 live births



Methodology

- The calculation of a maternal mortality rate is not recommended for countries with an estimated annual number of live births less than 10,000.
- Less than 20 deaths results in a relative standard error (RSE)of more than 23%.
 This is considered statistically unreliable.



Related issues

- Deaths may not be registered (under registration)
- Death not identified as a maternal death
- Untimely processing of vital records
- Small areas (absolute numbers)



Concept and definition

 Crude birth rate is the number of live births during a year per 1,000 person in the midyear population.



Methodology

Number of live births in a given year Population at middle of the year

Type of indicator: Rate

Measure Unit: Per 1,000 inhabitants



Methodology

 Population data correspond to the midyear estimated values, obtained from the United Nations.



Related issues

- The count of births must include all live-born products of pregnancy and exclude pregnancies not terminating in a live birth
- There is a failure to register a child who dies shortly after birth or who dies before the parents have registered the birth.
- In some cases, newborn infants who die within 24 hours after birth are excluded from the tabulations of live birth.



Concept and definition

- Measures mortality in a population from a specific disease
- A high death rate will indicate the public health importance of the particular disease.



Methodology

Number of deaths attributed by specific cause in population during during period Population at risk at mid-point of period

Type of indicator: Rate

Measure unit: per 100,000

Categories: total, male, female



Methodology

Number of death in age group during the period Population of the age group at the mid-point of the period

Type of indicator: Rate

Measure units: per 1,000 in the age group





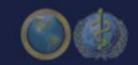
Methodology

- Death rate from an infrequent disease will require observations in a large population (to calculate a rate)
- Rates should be based on at least 100 deaths from a specific diseases in order to keep the effect of random fluctuations at an insignificant level.
- Importance of data disaggregated by sex



Related issues

- Original source of data (death certificate) may not be properly completed
- Inaccurate International Classification of Disease (10th revision) coding
- Under-registration and "garbage coding"
- III-defined deaths





Small Numbers and Small Areas

Small numbers vs. small areas

- Criteria to small areas: geographical and demographical approach. (around 100,000 or fewer)
- Criteria to small numbers: statistical approach (fewer than 20 cases)



WOMEN, HEALTH AND DEVELOPMENT

- The 26th Pan American Sanitary
 Conference, 54th Session of the Regional
 Committee (September 2002) requested
 the Director to, among other things:
- Stimulate and support the production, dissemination, and analysis of data disaggregated by sex, incorporating data on interventions in the areas of gender, social class, and ethnicity.



SUMMARY CHART

- Name of indicator
- Definition
- Interpretation
- Limitations
- Uses
- Sources
- Method of Calculation
- Suggested categories
- Observed values
- Notes
- References





- Availability of data
- Comprehensiveness of coverage
- Relevance of concepts and methods
- Timeliness of data
- Comparability of different sources
- Accessibility to the data
- Validity



- Lack of data at the national level
- Non-response from countries
- Absence of systematic international effort to compile indicators on specific topics which may exist at the country level
- Lack of use of international classifications
- Overlap in international work on indicators, resulting in duplications either in the collection or in the dissemination process.



Some frequent inconsistencies:

- Identical indicators provided by different organizations appear with different numerical values in international publications as official data
- Lack of coordination and harmonization in the different agencies
- Indicators may in fact reflect distinct phenomena even though they seem to be the same
- Different definitions applied to the same indicator



- Data discrepancies are inherited from the national level where there are inconsistent national source.
- Different compilation or estimation techniques are used at the international level; international agencies oftentimes make their own estimates of country indicators when the information is not readily available.
- Imputation
- Absence of metadata, particularly, at the subnational level



- Base line data comes from different points in time of the processing cycle
- National averages are not an adequate measure of the health situation in a country



HARMONIZATION

- Implies national coordination (as is modeled in Brazil, Red Interagencial de Produccion de datos en Salud. (RIPSA) (This is an inter-agency network that is responsible for the production of official health information)
- Requires common definitions and methodologies
- Requires coordination with CARICOM, United Nations (UNSD), U.S. Government, international lending agencies, and PAHO/WHO.

